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U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

#3


INFORMATION DISCLOSURE
STATEMENTDocket Number:
2345/170Application Number
To Be AssignedFiling Date
HerewithExaminer
To Be AssignedArt Unit
To Be AssignedTitle
MINIATURIZED TERAHERTZ RADIATION
SOURCEApplicant(s)
Hans W. P. KOOPS et al.Address to:
Assistant Commissioner for Patents
Washington D.C. 20231

1. In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for Applicant(s) hereby bring the following reference(s) to the attention of the Examiner. The reference(s) are listed on the attached modified PTO Form No. 1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.
2. A copy of each patent, publication or other information listed on the modified PTO form 1449 is enclosed, except as otherwise indicated on the modified PTO form 1449.

Dated:

11/26/01

By:

By: 
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT PTO FORM 1449	ATTY. DOCKET NO. 2345/170	SERIAL NO. Not yet assigned
	APPLICANT(s) Hans W. P. KOOPS et al.	
	FILING DATE Herewith	GROUP Not yet assigned

U. S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME
Q12	**US 5,263,043	November 16, 1993	John Walsh
Q12	**US 3,586,899	June 22, 1971	Harold Fleisher
Q12	**US 5,268,693	December 7, 1993	John Walsh
Q12	**US 4,727,550	February 23, 1988	Chang et al.

**Cited on German Patent Office Search Report (copy of reference and search report enclosed).

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
				YES	NO
Q12	WO 98/21788	May 22, 1998	PCT	X*	
Q12	WO 87/01873	March 26, 1987	PCT	X*	
Q12	DE 196 09 234	September 11, 1997	Fed. Rep. of Germany	X**	
Q12	WO 98/21788	May 22, 1988	PCT	X**	

*Copy of reference is not enclosed because reference is cited and described in International Search Report (copy of reference provided by International Searching Authority).

**Cited on German Patent Office Search Report (copy of reference and corresponding English abstract and search report enclosed).

OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
Q12	*Schoessler C et al., "Nanostructured integrated electron source", Silicon Heterostructures: From Physics to Devices, Barga, Italy, Sept 16-19, 1997, vol. 16, no. 2, pages 862-865, Journal of Vacuum Science & Technology (Microelectronics and Nanometer Structures), March-April 1998, AIP for American Vacuum Soc. USA.
Q12	**JP 0004199885, Abstracts of Japan, Mitsubishi Electric Corp., "Semiconductor Light Emitting Device", July 21, 1992.

*Copy of reference is not enclosed because reference is cited and described in International Search Report (copy of reference provided by International Searching Authority).

**Cited on German Patent Office Search Report (copy of reference and search report enclosed).

EXAMINER Q12	DATE CONSIDERED 9/28/03
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	